Complete if Known 10/612,822 Application No.

Effective on 12/08/04 Filing Date July 1, 2003 FEE TRANSMITTAL SHEET First Inventor Mark A. Moehring (FY 2006) Group Art Unit 3737 Ali M. Imam **Examiner Name** Applicant claims small entity status (see 37 C.F.R. 1.27) 500580.08 Atty. Docket Number **METHOD OF PAYMENT (Check One)** FEE CALCULATION (Continued) X The Director is hereby authorized to charge any additional fee 3. ADDITIONAL FEES required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and Large Small credit any over payments to Deposit Account No. 50-1266; Entity Entity Fee Description Fee paid Deposit Account Name: DORSEY & WHITNEY LLP. Fee Fee Surcharge - late provisional filing fee or \$ 50 25 X Check Enclosed. cover sheet Surcharge - Late nonprovisional filing **Extra Claim Fees** 130 65 \$ fee or oath **Current Claims** Prior Extra Fee Fee Paid 180 180 Submission of IDS \$180 Total Recording each patent assignment per Ind. 3 \$ 40 40 \$ property (times number of properties) Multiple Dependent Claims \$ 120 60 Extension for reply within first month \$ Subtotal (Extra Claims) \$0 450 225 Extension for reply within second month \$ Petition Fee Under 37 CFR 1.17(f), (g), & (h) 1,020 510 Extension for reply within third month \$ Enclosed is a Petition filed under 37 CFR as indicated below: 1,590 795 \$ Extension for reply within fourth month Petition Fee under 37 CFR 1.17(f) Fee \$400 2,160 1.080 Extension for reply within fifth month \$ § 1.53(e) to accord a filing date. § 1.57(a) to accord a filing date. 790 395 Submission After Final 1.129 \$ § 1.182 for decision on a question not provided for. § 1.183 to suspend the rules. 250 500 Notice of Appeal \$ § 1.378(e) for reconsideration of decision on petition refusing delayed payment of maintenance fee in expired patent. 500 250 Filing a brief in support of an appeal \$ § 1.174(b) to accord a filing date to an application under §1.740 for extension \$ 1,000 500 Request for oral hearing of patent term. Petition Fee under 37 CFR 1.17(g) Fee \$200 130 65 Terminal Disclaimer Fee \$ for access to an assignment record. § 1.12 \$ 800 400 Design Issue Fee § 1.14 for access to an application. § 1.47 for filing by other than all inventors or person not the inventor. Request for Continued Examination 790 395 \$ § 1.59 for expungement of information, (RCE) § 1.103(a) to suspend action in an application. Request for voluntary publication or § 1.136(b) for review of a request for ext. of time when §1.136(a) not avail. 130 \$ republication § 1.295 for review of refusal to publish a statutory invention registration. § 1.296 to withdraw a req. for pub. after notice of intent to publish issued. 500 250 Petition to Revive - unavoidable \$ § 1.377 for review of decision refusing to accept a maintenance fee filed prior to expiration of a patent. 1,500 750 Petition to Revive - unintentional \$ § 1.550(c) for request for ext. of time in ex parte reexam, proceedings. 200 \$ § 1.956 Filing for patent term adjustment for request for ext. of time in ex parte reexam, proceedings. § 5.12 for expedited handling of foreign filing license. Request for reinstatement of term 400 \$ § 5.15 for changing the scope of a license. reduced § 1.5.25 for retroactive license. 1,120 Extension of term of patent \$ Petition Fee under 37 CFR 1.17(h) Fee \$130 § 1.19(g) to request documents in a form other than provided in this part. OTHER FEE \$ \$ 1.84 for accepting color drawings or photographs. (specify) § 1.91 for entry of a model or exhibit. § 1.102(d) to make an application special. Subtotal (Additional Fees) \$180 § 1.138(c) to expressly abandon an application to avoid publication. § 1.313 to withdraw an application from issue. § 1.314 to defer issuance of a patent. **Total Amount of Payment:** \$180 Submitted by: **CUSTOMER NUMBER DORSEY & WHITNEY LLP** 1420 Fifth Avenue, Suite 3400 Seattle, WA 98101-4010 27,076 (206) 903-8800 phone / (206) 903-8820 fax Name: Kimton N. Eng Reg. No.: 43,605 Date:

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PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Warch 23, 2006

Ayesha J. Shaikh

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/612,822

Confirmation No. : 3901

Applicant: Mark A. Moehring

: 3737

Filed : July 1, 2003

Attorney Docket No.: 500580.08

Customer No.

: 27,076

Examiner : Ali M. Imam

Art Unit

Title

Examine : 7 m m m

: DOPPLER ULTRASOUND METHOD AND APPARATUS FOR MONITORING

BLOOD FLOW

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. Copies of the cited non-patent literature references, as required under 37 C.F.R. § 1.98(a)(2), are enclosed. Copies of the cited U.S. patents and U.S. patent application publications will not be submitted herewith in accordance with the waiver by the Office of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for U.S. national patent applications filed after June 30, 2003. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

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Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/840,940, describing and claiming subject matter that is similar to the subject matter of this application, was filed on May 7, 2004. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 11/152,666, describing and claiming subject matter that is similar to the subject matter of this application, was filed on June 13, 2005. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 11/134,862, describing and claiming subject matter that is similar to the subject matter of this application, was filed on May 20, 2005. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(c). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP

Kimton N. Eng

Registration No. 43,605

KNE:ajs

Enclosures:

Postcard

Check

Fee Transmittal Sheet (+ copy)

Copy of Supplemental Information Disclosure Statement

Form PTO-1449

Cited References (6)

Copies of Related Applications (3)

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FORM PTO-1449 ATTY. DOCKET NO. S. DEPARTMENT OF COMMERCE APPLICATION NO. (REV.7-80) NT AND TRADEMARK OFFICE 500580.08 10/612,822 APPLICANT(S) RESTATEMENT Mark A. Moehring FILING DATE **GROUP ART UNIT** July 1, 2003 3737 **U.S. PATENT DOCUMENTS** DOCUMENT NUMBER DATE SUBCLASS CLASS NAME FILING DATE INITIAL IF APPROPRIATE 4,993,417 02/19/91 Seo 128 661.09 5,101,828 04/07/92 Welkowitz et al. 128 668 AΒ 6,482,161 B1 11/19/02 Sumanaweera et al. 600 454 AC 6,503,202 B1 01/07/03 Hossack et al. 600 454 ΑD 6,524,249 B2 02/25/03 Moehring et al. 600 438 ΑE 6,547,736 B1 04/15/03 Moehring et al. 600 454 ΑF 6,635,017 B1 10/21/03 Moehring et al. 600 439 AG 2004/0138563 A1 07/15/04 Moehring et al. 600 439 ΑH 600 2005/0033174A1 02/10/05 453 Moehring et al. ΑI OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) Alexandrov, A.V. et al., "Insonation Method and Diagnostic Flow Signatures for Transcranial Power Motion (M-Mode) Doppler", Journal of Neuroimaging, Vol. 12, No. 3, July 2002. pp. 236-244. Demchuk, A.M. et al., "Thrombolysis in Brain Ischemia (TIBI) Transcranial Doppler Flow Grades Predict Clinical Severity, Early Recovery, and Mortality in Patients Treated with Intravenous Tissue Plasminogen Activator", American Heart Association, Inc., January 2001. pp. 89-93. Giller, C.A. et al., "Oscillations in Cerebral Blood Flow Detected with a Transcranial Doppler Index", Journal of Cerebral Blood Flow and Metabolism, Vol. 19, No. 4, April 1999. pp. 452-459. Kisslo J.A., et al., "Color Flow Imaging", Echo inContext, Duke Center for Echo, www. echoincontext.com/doppler04/doppler04 01.asp, Duke University Medical Center, 2000. 30 pages. Moehring, M.A. et al., "Power M-Mode Doppler (PMD) for Observing Cerebral Blood Flow and Tracking Emboli", Ultrasound in Medicine and Biology, Vol. 28, No. 1, 2002. pp. 49-57. AN Zagzebski, James A., "Essentials of Ultrasound Physics", Mosby, Inc., St. Louis, Missouri, 1996. pp. 46-68 and 109-122. **EXAMINER** DATE CONSIDERED